

**WOLVERHAMPTON CCG
GOVERNING BODY
12 August 2017**

Agenda item 14

TITLE OF REPORT:	Summary – Wolverhampton Clinical Commissioning Group(WCCG) Audit and Governance Committee (AGC) – 18 July 2017
AUTHOR(s) OF REPORT:	Peter Price – Interim Chair, Audit and Governance Committee
MANAGEMENT LEAD:	Tony Gallagher – Chief Finance Officer
PURPOSE OF REPORT:	<ul style="list-style-type: none"> To provide an update of the WCCG Audit and Governance Committee to the Governing Body of the WCCG.
ACTION REQUIRED:	<input type="checkbox"/> Decision <input checked="" type="checkbox"/> Assurance
PUBLIC OR PRIVATE:	This Report is intended for the public domain.
KEY POINTS:	<ul style="list-style-type: none"> To provide an update of the WCCG Audit and Governance Committee to the Governing Body of the WCCG.
RECOMMENDATION:	<ul style="list-style-type: none"> Receive this report and note the actions taken by the Audit and Governance Committee
LINK TO BOARD ASSURANCE FRAMEWORK AIMS & OBJECTIVES:	
1. Improving the quality and safety of the services we commission	n/a
2. Reducing Health Inequalities in Wolverhampton	n/a
3. System effectiveness delivered within our financial envelope	n/a



1. BACKGROUND AND CURRENT SITUATION

1.1 Briefing on Recent Cyber Attack

The Director of Operations gave a briefing on the cyber-attack which took place on Friday 12 May 2017 and outlined the steps undertaken by WCCG and RWT to manage the situation effectively.

1.2 Internal Auditor Progress Report

The Senior Internal Audit Manager reported on progress made since the last Audit and Governance Committee meeting and informed that she had met with the Director of Finance to discuss the existing plans which were risk assessed. This meant that the plan included a follow up on Risk Management following last year's audit findings.

1.3 Internal Audit Charter

The Internal Audit Charter was an annual report. It had been brought to the Audit and Governance Committee meeting for approval and then sighted at the Governing Body Meeting for information.

1.4 Counter Fraud Progress Report

The Senior Manager for Counter Fraud presented to the Committee the Counter Fraud Progress Report. He had met with the Director of Finance to review risks and how they were being managed. No specific issues of concern were raised.

1.5 WCCG LSMS Progress Report July 2017

The report updated on the progress following the action plan being presented at the April Audit and Governance Meeting. No specific issues of concern were raised.

1.6 Annual Audit Letter

The Annual Audit Letter and advised that the content remained unchanged and that a certificate had been issued to WCCG stating that Ernst and Young had provided an unqualified opinion.

1.7 Risk Register Reporting/Board Assurance Framework

The report presented was in response to the findings last year from an audit conducted by the Internal Audit team. The Corporate Operations Manager was asked to support Executive Lead Nurse to identify strategic risks and the structure of the Board Assurance Framework (BAF).

It was agreed that this should be undertaken as a matter of urgency as we were now behind the original timeline set.



- 1.8 Losses and Compensation Payments – Quarter 2 2017/18
No losses or special payments were reported in quarter 2 2017/18
- 1.9 Suspensions, Waiver and Breaches of SO/PFPS
There were no suspensions of SO/PFPS in quarter 2 of 2017/18
- 1.10 Receivable/Payable Greater than £10,000 and over 6 months old
The Committee noted that as at 30 June 2017, there were 0 receivables and 5 payables over £10,000 and greater than 6 months old.

CLINICAL VIEW

- 1.1. N/A

2. PATIENT AND PUBLIC VIEW

- 2.1. N/A

3. KEY RISKS AND MITIGATIONS

- 3.1. The Audit and Governance Committee will regularly scrutinise the risk register and Board Assurance Framework of the CCG to gain assurance that processes for the recording and management of risk are robust. If risk is not scrutinised at all levels of the organisation, particularly at Governing Body level, the CCG could suffer a loss of control with potentially significant results.

4. IMPACT ASSESSMENT

Financial and Resource Implications

- 4.1. N/A

Quality and Safety Implications

- 4.2. N/A

Equality Implications

- 4.3. N/A

Legal and Policy Implications

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4.4. N/A

Other Implications

4.5. N/A

Name: Tony Gallagher
Job Title: Chief Finance Officer
Date: 3 August 2017



REPORT SIGN-OFF CHECKLIST

This section must be completed before the report is submitted to the Admin team. If any of these steps are not applicable please indicate, do not leave blank.

	Details/ Name	Date
Clinical View	N/A	
Public/ Patient View	N/A	
Finance Implications discussed with Finance Team	N/A	
Quality Implications discussed with Quality and Risk Team	N/A	
Equality Implications discussed with CSU Equality and Inclusion Service	N/A	
Information Governance implications discussed with IG Support Officer	N/A	
Legal/ Policy implications discussed with Corporate Operations Manager	N/A	
Other Implications (Medicines management, estates, HR, IM&T etc.)	N/A	
Any relevant data requirements discussed with CSU Business Intelligence	N/A	
Signed off by Report Owner (Must be completed)		

